Attorney's Docket No. 42P12043

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In Re Patent Application of:  |                          |
|---|--------------------------|
| Achintya K. Bhowmik   | Examiner: Song, Sarah U. |
| Application No.: 09/955,235   | Art Unit: 2874           |
| Filed: September 17, 2001   |                          |
| For: METHOD AND APPARATUS FOR SWITCHING AND MODUCLATING AN OPTICAL SIGNAL WITH ENHANCED SENSITIVITY | OLOGY OLOGY              |
| Assistant Commissioner for Patents Washington, D.C. 20231   | CENTER.2                 |
| INFORMATION DISCL   | ·                        |

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

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| class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents,      |
| Washington, D. C. 20231 on <u>March 6, 2003</u>   |
| (Date of Deposit)   |
| Dominique Valentino   |
| (Typed_or printed name of person mailing correspondence)  |
|   |
| Deminique Valentino   |
| <br>(Signature of person mailing correspondence)  |
| <b>X</b>  |
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Pursuant to 37-C.F.R. § 1.97, the submission of this Information

Disclosure Statement is not to be construed as a representation that a search

has been made and is not to be construed as an admission that the information

cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

| <u> </u> | 37 C.F.R. §1.97(b).  |
|----------|--|
|          | 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:         |
|          | A statement pursuant to 37 C.F.R. §1.97(e) or  |
|          | A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).  |
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|          | (1) A statement pursuant to 37 C.F.R. §1.97(e); and  |
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If there are any additional charges, please charge Deposit Account No.

02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: March 6.8

Lawrence E. Lycke Reg. No. 38,540

12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025-1026 (206) 292-8600

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| `INI   | FOE     | NACTIC   | וח ואנ  | SCI  | OSURE       | Application Number    |                | 09/955,235     |  |  |  |
| INFORMATION DISCLOSURE                                   |         |  |         |  |             | Filing Date           |                | September 1    | 7, 2001  |  |  |
| STATEMENT BY APPLICANT (use as many sheets as necessary) |         |  |         |  |             | First Named Inventor: |                | Achintya K.    | Bhowmik  |  |  |
|  |         |  |         |  |             | Group Art Unit        | Group Art Unit |                |  |  |  |
|  |         |  |         |  |             | Examiner Name         |                | Song, Sarah U. |  |  |  |
| Sheet  | 1       |  |         | of   | 2           | Attorney Docket Numb  | er             | 42P12043       |  |  |  |
|  |         |  |         |  | U.S. PATE   | NT DOCUMENTS          |                |                |  |  |  |
| Exam. Cite U.S. Patent Document Name of P                |         | atentee or Applicant Date of ited Document Cited |         | Date of Publication of Cited Document Where Passages Figure: |             |                       |                |                |  |  |  |
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| Exam.<br>Initial* | Cite<br>No.1 | Forei<br>Office <sup>3</sup> | gn Patent Docume<br>Number <sup>4</sup> +Cind C<br>(If kn | ode <sup>5</sup> | Name of Patentee or Applicant of Cited Document | Date of Publication<br>of Cited Document<br>MM-DD-YYYY | Pages, Columns,<br>Lines, Where Relevant<br>Passages or Relevant<br>Figures Appear | T°           |
|                   |              | EP0140578 A                  |   | Α                | Plessey Overseas Limited                        | 05-08-1985   |  |              |
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| Examiner  | Date Considered |  |
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| Signature |                 |  |

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<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor roust precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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## THE ORMATION DISCLOSURE

STATEMENT BY APPLICANT

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|  | Com                    | plete if Known      |  |
|--|------------------------|---------------------|--|
|  | Application Number     | 09/955,235          |  |
|  | Filing Date            | September 17, 2001  |  |
|  | First Named Inventor:  | Achintya K. Bhowmik |  |
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|  | Examiner Name          | Song, Sarah U.      |  |
|  | Attorney Docket Number | 42P12043            |  |

| Sheet                 | 2   |                             | of                           | 2                                  | A  | tomey Docket  | Number                  | 42P1204                               | 3                      |        |                |
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| Examiner<br>Initials* | Initials* No <sup>1</sup> item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published |                             |                              |                                    |  |   |                         |                                       |                        |        | L <sub>5</sub> |
|                       |   | ZEHND<br>Meeting<br>IEEE, U | ER MOD<br>On Mel<br>S, 17 No | OULATOR<br>bourne, Vi<br>ovember 1 | .", Microwavo<br>ic., Australia<br>999 (1999-1 | ROT TYPE  <br>e Photonics,<br>17-19, Nove<br>  1-17), pages | 1999, MWP<br>mber 1999, | '99, Interna                          | tional Option          | cal    |                |
|                       |   | Internati                   | ional Sea                    | arch Repo                          | nt, 16/08/20                                   | 02.   |                         | •                                     | •                      |        |                |
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| ł | Examiner  | Date       |  |
|---|-----------|------------|--|
| I | Signature | Considered |  |

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